

Exhibit 40a



Commercial register of canton Zurich

Identification number	Legal status	Entry	Cancelled	Carried CH-020.3.927.218-6 from: CH-020.3.927.218-6/b on:	1
CHE-105.933.968	Limited or Corporation	09.08.1965			



Valid datas only

In	Ca	Business name	Ref	Legal seat
1		Falcon Private Bank AG	1	Zürich
1		(Falcon Private Bank Ltd.) (Falcon Private Bank SA)		

In	Ca	Share capital (CHF)	Paid in (CHF)	Shares	In	Ca	Company address
3		120'000'000.00	120'000'000.00	30'000 Namenaktien zu CHF 4'000.00	1		Pelikanstrasse 37 8001 Zürich

In	Ca	Purpose	In	Ca	Other addresses
43		Zweck der Gesellschaft ist der Betrieb einer Bank mit internationaler Orientierung mit dem Hauptgewicht auf der Vermögensverwaltung. Im Rahmen dieser Zielsetzung erstreckt sich die Tätigkeit der Bank insbesondere auf folgende Geschäftszweige: Passivgeschäft unter Ausschluss von der Ausgabe von Kassaobligationen; Aktivgeschäft mit besonderer Berücksichtigung des Lombardgeschäftes; Handel für eigene und fremde Rechnung in Devisen, Edelmetallen, Effekten, Waren und Derivaten; Vermögensverwaltung und Anlageberatung; Erbringung sämtlicher banküblichen Finanzdienstleistungen und Treuhandgeschäfte; Kauttionen und Akkreditive in Verbindung mit anderen Geschäften eines Kunden; Emissionsgeschäft einschliesslich Beteiligung an Syndikaten; Aufbewahrung von Effekten und Wertgegenständen aller Art; Vertretung von ausländischen Kapitalanlagen in der Schweiz und deren Vertrieb in und von der Schweiz aus gemäss den Bestimmungen des Kollektivanlagegesetzes. Die Gesellschaft kann im weiteren Beteiligungen und Grundstücke erwerben, verwalten und veräussern, Unternehmungen gründen, vertreten und beraten und alle Geschäfte durchführen, die zur Erreichung des Gesellschaftszweckes geeignet sind. Die Gesellschaft kann im In- und Ausland Zweigniederlassungen und Tochtergesellschaften sowie Vertretungen errichten.			

In	Ca	Remarks	Ref	Date of the acts
1		Mitteilungen an Aktionäre erfolgen schriftlich an die im Aktienbuch eingetragene Adresse.	1	10.10.1990
1		Die Übertragbarkeit der Namenaktien ist nach Massgabe der Statuten beschränkt.	1	22.12.1994
1		Geschäftsstelle: Hochbordstrasse 1, 8600 Dübendorf.	1	26.11.1998
3		Bei der ordentlichen Kapitalerhöhung vom 24.11.2009 wird eine Forderung in der Höhe von CHF 60'000'000.00 verrechnet, wofür 15'000 Namenaktien zu CHF 4'000.00 ausgegeben werden.	1	17.06.2005
			1	17.04.2009
			3	24.11.2009
66		Weitere Adresse: Postfach 1376, 8021 Zürich.	43	04.02.2014

In	Ca	Qualified facts	Ref	Official publication
1		CHF 2'000'000.-- durch entsprechende Verwendung der zur freien Verfügung der Generalversammlung stehenden Reserven liberiert.	1	SHAB
1		Die Gesellschaft hat die Fusion mit der Kaerpf Holding AG Glarus, in Glarus, beschlossen. Aktiven und Passiven gemäss Bilanz per 30.6.1984 sind im Sinne von Art. 748 OR an die Ueberseebank AG übergegangen; näheres siehe Tagebuch 15286/1984.		



Commercial register of canton Zurich

CHE-105.933.968

Falcon Private Bank AG

Zürich

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Vis	Ref	Journal	Date	SOGC	Date SOGC	Page / Id	Vis	Ref	Journal	Date	SOGC	Date SOGC	Page
	0	(Transfer)			(Transfer)			48	B 22987	09.07.2014	B 133	14.07.2014	161
	1	33698	31.08.2009	171	04.09.2009	26 / 5231686		49	33442	09.10.2014	198	14.10.2014	1766
	2	44453	13.11.2009	225	19.11.2009	24 / 5351078		50	39955	01.12.2014	235	04.12.2014	185937
	3	47792	08.12.2009	242	14.12.2009	20 / 5390434		51	7674	26.02.2015	42	03.03.2015	2018623
	4	384	05.01.2010	6	11.01.2010	29 / 5433950		52	10874	24.03.2015	60	27.03.2015	2067637
	5	8960	01.03.2010	45	05.03.2010	28 / 5526678		53	B 11420	27.03.2015	B 63	01.04.2015	2075665
	6	11355	19.03.2010	59	25.03.2010	23 / 5558724		54	B 11714	31.03.2015	B 65	07.04.2015	2080711
	7	21722	14.06.2010	116	18.06.2010	30 / 5682990		55	17206	15.05.2015	95	20.05.2015	2160219
	8	23468	25.06.2010	125	01.07.2010	37 / 5703192		56	17782	20.05.2015	98	26.05.2015	2167765
	9	31585	01.09.2010	173	07.09.2010	26 / 5801788		57	28674	13.08.2015	158	18.08.2015	2325761
	10	41017	23.11.2010	232	29.11.2010	24 / 5914774		58	31557	08.09.2015	176	11.09.2015	2368305
	11	1132	07.01.2011	9	13.01.2011	23 / 5984006		59	32137	14.09.2015	180	17.09.2015	2377601
	12	8184	28.02.2011	44	03.03.2011	6059836		60	34655	05.10.2015	195	08.10.2015	2414949
	13	19620	30.05.2011	107	03.06.2011	6190856		61	41135	25.11.2015	232	30.11.2015	2508617
	14	20989	09.06.2011	114	15.06.2011	6205234		62	N 43731	14.12.2015	N 245	17.12.2015	2545991
	15	29571	15.08.2011	159	18.08.2011	6300246		63	4150	01.02.2016	24	04.02.2016	2639549
	16	30440	22.08.2011	164	25.08.2011	6308614		64	5339	09.02.2016	30	12.02.2016	2663087
	17	45436	19.12.2011	249	22.12.2011	6473092		65	B 14667	25.04.2016	B 82	28.04.2016	2804775
	18	4916	06.02.2012	28	09.02.2012	6542696		66	21219	16.06.2016	118	21.06.2016	2901521
	19	B 5755	13.02.2012	B 33	16.02.2012	6552852		67	21788	21.06.2016	121	24.06.2016	2910479
	20	16587	18.05.2012	99	23.05.2012	6689264		68	29998	26.08.2016	168	31.08.2016	3029073
	21	17429	29.05.2012	105	01.06.2012	6700670		69	31824	13.09.2016	180	16.09.2016	3058171
	22	24132	17.07.2012	140	20.07.2012	6779032		70	43772	08.12.2016	242	13.12.2016	3218115
	23	39281	15.11.2012	226	20.11.2012	6938314		71	7131	21.02.2017	39	24.02.2017	3368311
	24	39724	20.11.2012	229	23.11.2012	6944492		72	12661	06.04.2017	71	11.04.2017	3461359
	25	665	10.01.2013	9	15.01.2013	7015202		73	17438	17.05.2017	98	22.05.2017	3535025
	26	B 1135	15.01.2013	B 12	18.01.2013	7022542		74	18784	30.05.2017	106	02.06.2017	3557861
	27	1997	21.01.2013	16	24.01.2013	7031946		75	23126	30.06.2017	128	05.07.2017	3623229
	28	8680	12.03.2013	52	15.03.2013	7106970		76	28505	11.08.2017	157	16.08.2017	3698963
	29	17409	05.06.2013	109	10.06.2013	7220540		77	31455	08.09.2017	177	13.09.2017	3749179
	30	19138	19.06.2013	119	24.06.2013	933285		78	37671	30.10.2017	213	02.11.2017	3845833
	31	B 19450	21.06.2013	B 121	26.06.2013	939549		79	41113	24.11.2017	232	29.11.2017	3898623
	32	21966	11.07.2013	135	16.07.2013	979855		80	B 41659	29.11.2017	B 235	04.12.2017	3906519
	33	28316	05.09.2013	174	10.09.2013	1068601		81	42315	04.12.2017	238	07.12.2017	3915795
	34	28682	10.09.2013	177	13.09.2013	1075065		82	44466	18.12.2017	248	21.12.2017	3947201
	35	31098	01.10.2013	192	04.10.2013	1109807		83	116	03.01.2018	4	08.01.2018	3970893
	36	31650	04.10.2013	195	09.10.2013	1117735		84	1671	11.01.2018	10	16.01.2018	3991771
	37	33210	18.10.2013	205	23.10.2013	1140951		85	6219	12.02.2018	32	15.02.2018	4057721
	38	39702	10.12.2013	242	13.12.2013	1234425		86	14480	19.04.2018	78	24.04.2018	4189723
	39	41484	20.12.2013	250	27.12.2013	1259529		87	16180	04.05.2018	89	09.05.2018	4219785
	40	135	06.01.2014	5	09.01.2014	1273753		88	16724	09.05.2018	92	15.05.2018	4228141
	41	5152	10.02.2014	30	13.02.2014	1343509		89	19982	06.06.2018	110	11.06.2018	4280535
	42	6780	21.02.2014	39	26.02.2014	1367239		90	36698	12.10.2018	201	17.10.2018	1004478100
	43	7104	25.02.2014	41	28.02.2014	1371895		91	43410	03.12.2018	237	06.12.2018	1004513534
	44	11490	01.04.2014	66	04.04.2014	1435367		92	8186	22.02.2019	40	27.02.2019	1004575660
	45	13502	16.04.2014	77	23.04.2014	1464733		93	16989	30.04.2019	85	03.05.2019	1004622258
	46	19069	10.06.2014	112	13.06.2014	1551845		94	22139	07.06.2019	112	13.06.2019	1004649734
	47	21234	26.06.2014	124	01.07.2014	1583839							

Commercial register of canton Zurich

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Falcon Private Bank AG

Zürich

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Ca	Personal Data	Function	Signature
	Grassi, Dr. Roberto, von Mendrisio, in Lugano	president of the board of directors	joint signature at two
87	Latroche, Cyril, französischer Staatsangehöriger, in Abu Dhabi (AE)	vicepresident of the board of directors	joint signature at two
90	Bernegger, Marc Philipp, von Zürich, in Zollikon	member of the board of directors	joint signature at two
74	Hurn, Matthew, britischer Staatsangehöriger, in Abu Dhabi (AE)	member of the board of directors	joint signature at two
90	Schärer, Dominik, von Thunstetten, in Zofingen	member of the board of directors	joint signature at two
34	Keller, Martin, von Baden, in Oberwil-Lieli	president of the management	joint signature at two
30	Clavel, Xavier, von Sion, in Zollikon	member of the management	joint signature at two
30	Fiddes, Alastair, britischer Staatsangehöriger, in Freienbach	member of the management	joint signature at two
6	Maccio, Matteo, von Rossinière, in Küsnacht (ZH)	member of the management	joint signature at two
4	Meyer, Bruno, von Emmen, in Stäfa	member of the management	joint signature at two
90	Müller, Andreas, von Unterkulm, in Zürich	member of the direction	joint signature at two
51	Aiello, Bettina, von Kallnach, in Bülach	member of the direction	joint signature at two limited to the main office
4	Ajvazi, Eldin, von Hombrechtikon, in Beringen	member of the direction	joint signature at two limited to the main office
90	Ashapa, Rebecca, von Benken (ZH), in Zürich	member of the direction	joint signature at two limited to the main office
	Beraha-Levy, Lara, deutsche Staatsangehörige, in Zürich	member of the direction	joint signature at two limited to the main office
	Bertschi, Andreas, von Dürrenäsch, in Zürich	member of the direction	joint signature at two limited to the main office
90	Blum, Christian, von Thalwil, in Rüschlikon	member of the direction	joint signature at two limited to the main office
49	Bollhalder, Stefan, von Wädenswil, in Wädenswil	member of the direction	joint signature at two limited to the main office
	Bühlmann, Carole, von Ruswil, in Cham	member of the direction	joint signature at two limited to the main office
	Buschor, Patricia, von Altstätten, in Zollikon	member of the direction	joint signature at two limited to the main office
	Cantieni, Gregor, von Andeer, in Freienbach	member of the direction	joint signature at two limited to the main office
	D'Alelio, Antonio, genannt Tony, von Weinfelden, in Zürich	member of the direction	joint signature at two limited to the main office
	D'Angelo, Marco, von Männedorf, in Männedorf	member of the direction	joint signature at two limited to the main office
87	Di Grezia, Fabio, von Schlieren, in Unterengstringen	member of the direction	joint signature at two limited to the main office
	Eckard, Bernard, von Winterthur, in Zürich	member of the direction	joint signature at two limited to the main office
	Egger, Daniel Philippe, von Aarwangen, in Thalwil	member of the direction	joint signature at two limited to the main office
18	Erismann, Frank, von Wettingen, in Stäfa	member of the direction	joint signature at two limited to the main office



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CHE-105.933.968	Falcon Private Bank AG	Zürich
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Valid datas only

In	Mo	Ca	Personal Data	Function	Signature
	49		Fässler, Bernhard, von Ingenbohl, in Nottwil	member of the direction	joint signature at two limited to the main office
90			Fehr, Patrick, von Wagenhausen, in Gachnang	member of the direction	joint signature at two limited to the main office
70			Fehr, Urs, von Biel/Bienne, in Thalwil	member of the direction	joint signature at two limited to the main office
1			Frehner, Daniel, von Urnäsch, in Illnau-Effretikon	member of the direction	joint signature at two limited to the main office
1			Furrer, Gertrud, von Schongau, in Jonen	member of the direction	joint signature at two limited to the main office
	90		Ganzer, Grit, deutsche Staatsangehörige, in Winkel	member of the direction	joint signature at two limited to the main office
	30		Gigante, Dario, italienischer Staatsangehöriger, in Meilen	member of the direction	joint signature at two limited to the main office
84			Gilliard, Philippe, von Suscévoz, in Galgenen	member of the direction	joint signature at two limited to the main office
	90		Gywat, Oliver, von Riehen, in Zürich	member of the direction	joint signature at two limited to the main office
91			Heinz, Stefan, von Zürich, in Fischbach-Göslikon	member of the direction	joint signature at two limited to the main office
	87		Helbling, Michael, von Luzern, in Freienbach	member of the direction	joint signature at two limited to the main office
90			Hilfing, Tomas, von Meilen, in Meilen	member of the direction	joint signature at two limited to the main office
	86		Hirzel, Heinrich, von Zürich, in Bassersdorf	member of the direction	joint signature at two limited to the main office
87			Hunziker, Jean Jacques, von Kirchleerau, in Thalwil	member of the direction	joint signature at two limited to the main office
	90		Jereb, Taja, slowenische Staatsangehörige, in Zürich	member of the direction	joint signature at two limited to the main office
	71		Luchsinger, Christian, von Herrliberg, in Zürich	member of the direction	joint signature at two limited to the main office
	51		Manente, Patrizia, von Dübendorf, in Dübendorf	member of the direction	joint signature at two limited to the main office
1			Maritz, Peter, von Zürich, in Küsnacht ZH	member of the direction	joint signature at two limited to the main office
	64		Meier, Gérald, von Lengwil, in Montana	member of the direction	joint signature at two limited to the main office
	30		Molzahn, Rüdiger, deutscher Staatsangehöriger, in Boniswil	member of the direction	joint signature at two limited to the main office
	66		Montgomery, Magnus, britischer Staatsangehöriger, in Winkel	member of the direction	joint signature at two limited to the main office
	64		Moraru, Iurie, rumänischer Staatsangehöriger, in Freienbach	member of the direction	joint signature at two limited to the main office
	11		Müller, Franziska, von Walchwil, in Obfelden	member of the direction	joint signature at two limited to the main office
	51		Nicolay, Igor, von Bergün/Bravuogn, in Zürich	member of the direction	joint signature at two limited to the main office
	86		Özcan, Cihan, von Basel, in Basel	member of the direction	joint signature at two limited to the main office
1			Räss, Daniel, von Benken ZH und Geroldswil, in Oberlunkhofen	member of the direction	joint signature at two limited to the main office
	71		Reinstadler, Silvan, von Obersiggenthal, in Niederglatt	member of the direction	joint signature at two limited to the main office

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In	Mo	Ca	Personal Data	Function	Signature
70			Reist, Andreas, von Oberburg, in Adliswil	member of the direction	joint signature at two limited to the main office
	51		Reuthinger, Thomas, von Neunkirch, in Rümlang	member of the direction	joint signature at two limited to the main office
87			Richards, Katie, von Zürich, in Zürich	member of the direction	joint signature at two limited to the main office
91			Rijntjes, Evert-Jan, niederländischer Staatsangehöriger, in Kilchberg (ZH)	member of the direction	joint signature at two limited to the main office
91			Ritzl, Marc, von Bergdietikon, in Volketswil	member of the direction	joint signature at two limited to the main office
84			Roy, Iryna, von Biel/Bienne, in Männedorf	member of the direction	joint signature at two limited to the main office
1			Sato, Masayuki, japanischer Staatsangehöriger, in Meilen	member of the direction	joint signature at two limited to the main office
	90		Schleiffer, Philipp, von Luzern, in Stäfa	member of the direction	joint signature at two limited to the main office
1			Schmid, Kuno, von Nessler-Krummenau, in Seuzach	member of the direction	joint signature at two limited to the main office
	86		Schmidlin, Boris Dominic, von Dittingen, in Zürich	member of the direction	joint signature at two limited to the main office
11			Schramböck, Patrick, österreichischer Staatsangehöriger, in Baar	member of the direction	joint signature at two limited to the main office
	18		Schwarz, Ursula, von Oberrieden und Binningen, in Oberrieden	member of the direction	joint signature at two limited to the main office
	18		Skyvell, Kristoffer, schwedischer Staatsangehöriger, in Küsnacht ZH	member of the direction	joint signature at two limited to the main office
74			Stuppacher, Michael, von Eggersriet, in Zürich	member of the direction	joint signature at two limited to the main office
50			Timpanaro, Gianmarco, italienischer Staatsangehöriger, in Gipf-Oberfrick	member of the direction	joint signature at two limited to the main office
70			Van Berkel, Jocelyn, niederländische Staatsangehörige, in Zürich	member of the direction	joint signature at two limited to the main office
	86		Voci, Giuseppe, von St. Gallen, in Zürich	member of the direction	joint signature at two limited to the main office
91			Volland, Thomas, von Kilchberg (ZH), in Kilchberg (ZH)	member of the direction	joint signature at two limited to the main office
	86		Volz, Oliver, von Wangen-Brüttisellen, in Uster	member of the direction	joint signature at two limited to the main office
	54		Vonlanthen, Roger, von Schmitten FR, in Freienbach	member of the direction	joint signature at two limited to the main office
55			Zaitsev, Gueorgi, dänischer Staatsangehöriger, in Fällanden	member of the direction	joint signature at two limited to the main office
	64		Zalad, Konstantin, von Stein AG, in Schlieren	member of the direction	joint signature at two limited to the main office
	11		Zraggen, Roy, von Gurtellen, in Zollikon	member of the direction	joint signature at two limited to the main office
	18		Zimmermann, Beat, von Luzern, in Galgenen	member of the direction	joint signature at two limited to the main office
23			Zobl, Matthias, von Rüschlikon, in Rüschlikon	member of the direction	joint signature at two limited to the main office
66			PricewaterhouseCoopers AG (CHE-106.839.438), in Zürich	auditor	
89			Heyward II, George, amerikanischer Staatsangehöriger, in Zürich		joint signature at two limited to the main office
	89		Huggenberger, Eric, von Zürich, in Erlenbach (ZH)		joint signature at two limited to the main office



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CHE-105.933.968	Falcon Private Bank AG	Zürich
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In	Mo	Ca	Personal Data	Function	Signature
6			Allenspach, Susanne, von Zürich, in Zürich		joint agent signature at two limited to the main office
71			Aouak, Khalil, von Illnau-Effretikon, in Illnau-Effretikon		joint agent signature at two limited to the main office
74			Bartlett, Aline, von Beringen, in Langnau am Albis		joint agent signature at two limited to the main office
84			Baumann, Marc, von Hedingen, in Fällanden		joint agent signature at two limited to the main office
86			Beglinger, Diego, von Sennwald, in Dübendorf		joint agent signature at two limited to the main office
18			Bertschy, Nadine, von Kloten, in Bülach		joint agent signature at two limited to the main office
92			Bigler, Melanie, von Oberthal, in Hüntwangen		joint agent signature at two limited to the main office
86			Borer, Michael, von Stäfa, in Adliswil		joint agent signature at two limited to the main office
49			De Zordi, Barbara, von Chur, in Zürich		joint agent signature at two limited to the main office
74			Dybing, Helén, schwedische Staatsangehörige, in Zürich		joint agent signature at two limited to the main office
87			Eggli, Silvio, von Feuerthalen, in Jonen		joint agent signature at two limited to the main office
92			Ferreira Neves, Catia Raquel, portugiesische Staatsangehörige, in Zürich		joint agent signature at two limited to the main office
86			Franzini, Peter, von Küssnacht (SZ), in Neuhausen am Rheinflall		joint agent signature at two limited to the main office
87			Frei, Jennifer, von Dübendorf, in Volketswil		joint agent signature at two limited to the main office
84			Hauser, Andreas, von Rifferswil, in Uster		joint agent signature at two limited to the main office
74			Kienle, Werner, von Wilen TG, in Zollikon		joint agent signature at two limited to the main office
51			Kipfer, Yayoi, japanische Staatsangehörige, in Meilen		joint agent signature at two limited to the main office
86			Lonido, Laura, von Regensdorf, in Regensdorf		joint agent signature at two limited to the main office
30			Martino, Luisa, von Schlieren, in Schlieren		joint agent signature at two limited to the main office
18			Monaco, Laura, von Uster, in Uster		joint agent signature at two limited to the main office
68			Nguy, Gérald, von Amden, in Illnau-Effretikon		joint agent signature at two limited to the main office
86			Onya, Polina, russische Staatsangehörige, in Richterswil		joint agent signature at two limited to the main office
64			Osterwalder, Tiziana, von Stettfurt, in Schlieren		joint agent signature at two limited to the main office
64			Peter, Andrea, von Stäfa, in Maur		joint agent signature at two limited to the main office
71			Petronijevic, Valentina, von Triengen, in Steinhausen		joint agent signature at two limited to the main office
91			Pfeifer, Rolf, von Zürich, in Lindau		joint agent signature at two limited to the main office
91			Picuccio, Giuseppe, italienischer Staatsangehöriger, in Horgen		joint agent signature at two limited to the main office

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In	Mo	Ca	Personal Data	Function	Signature
42			Pucino, My, von Meilen, in Regensdorf		joint agent signature at two limited to the main office
86			Rosenberg, Penelope, von Bern, in Zürich		joint agent signature at two limited to the main office
64			Rossini, Luca, von Monte Carasso, in Weiningen ZH		joint agent signature at two limited to the main office
86			Stummer, Alex, österreichischer Staatsangehöriger, in Zürich		joint agent signature at two limited to the main office
91			Würmli, Benjamin, von Rothenthurm, in Urdorf		joint agent signature at two limited to the main office
66			Zumoberhaus, Olivier, von Obergoms, in Zürich		joint agent signature at two limited to the main office

Zürich, 10.10.2019

This extract from the cantonal Registry Office is not valid without the original authentication on the left. The extract contains all valid entries for the company in question. On special request it is also possible to provide an extract containing all entries. The Commercial Registry Office of the Canton of Zurich does not accept any liability for this extract from the Commercial Register not being accepted as sufficient proof of the facts stated therein at its place of destination.



Exhibit 40b



Commercial register of canton Zurich

Identification number CHE-105.933.968	Legal status Limited or Corporation	Entry 08/09/1965	Cancelled	Carried CH-020.3.927.218-6 from: CH-020.3.927.218-6/b on:	1
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[barcode] Valid data only

In	Ca	Business name	Ref	Legal seat
1		Falcon Private Bank AG	1	Zurich
1		(Falcon Private Bank Ltd.) (Falcon Private Bank SA)		

In	Ca	1	Paid in (CHF)	Shares	In	Ca	Company address
3		120,000,000.00	120,000,000.00	30,000 nominal shares to CHF 40,000.00	1		Pelikanstrasse 37 8001 Zurich

In	Ca	Purpose	In	Ca	Other addresses
43		The purpose of the company is to operate an internationally-oriented bank, having its main focus on asset management. As part of this objective, the bank's activities extend in particular to the following business sectors: deposit business excluding the issuance of cash bonds; lending business with special consideration to Lombard credits; trading for own accounts and third party accounts in foreign exchange, precious metals, securities, goods and derivatives; asset management and investment advice; providing all general banking financial services and fiduciary transactions; deposits and letters of credit in connection with other customer businesses; underwriting transactions including participation in syndicates; safekeeping of all kinds of effects and valuables; representation of foreign capital investments in Switzerland and their distribution in and from Switzerland in accordance with the provisions of the Swiss Federal Collective Investment Act. The company can also acquire, manage and sell holdings and real estate, set up companies, represent and advise and carry out all business that is suitable for achieving the company's purpose. The company can set up branches and subsidiaries as well as representative offices domestically as well as abroad.			

In	Ca	Remarks	Ref	Date of the facts
1		Notifications to shareholders are made in writing to the address entered in the stock ledger.	1	10/10/1990
1		The transferability of the nominal shares is limited in accordance with the art. of assoc. Office: Hochbordstrasse 1,8600 Dübendorf.	1	12/22/1994
1		With the ordinary capital increase on November 24, 2009, a claim for	1	11/26/1998
3		CHF 60,000,000.00 will be raised, for which 15,000 nominal shares are issued at CHF 4,000.00.	1	06/17/2005
66		Additional address: Postfach [P.O. Box] 1376, 8021 Zurich.	1	04/17/2009
			3	11/24/2009
			43	02/04/2014

In	Ca	Qualified facts	Ref	Official publication
1		CHF 2,000,000 paid up in full by appropriately using the reserves that are freely available to the General Assembly.	1	SHAB [<i>Schweizerisches Handelsamtsblatt</i> , Swiss Commercial Gazette]
1		The company has decided to merge with Kaerpf Holding AG Glarus, in Glarus. Based on the balance sheet dated 06/30/1984, the assets and liabilities were transferred to Ueberseebank AG within the meaning of Art. 748 OR [<i>Obligationsrecht</i> , Swiss Code of Obligations]; see journal 15286/1984 for details.		



Commercial register of canton Zurich

CHE-105.933.968	Falcon Private Bank AG	Zurich
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Valid data only

Vis	Ref	Journal	Date	SOGC	SOGC Date	Page / Id	Vis	Ref	Journal	Date	SOGC	SOGC Date	Page
	0	(Transfer)			(Transfer)			48	B 22987	07/09/2014	B 133	07/14/2014	161[ill.]
	1	33698	08/31/2009	171	09/04/2009	26 / 5231686		49	33442	10/09/2014	198	10/14/2014	1766[ill.]
	2	44453	11/13/2009	225	11/19/2009	24 / 5351078		50	39955	12/01/2014	235	12/04/2014	185937[ill.]
	3	47792	12/08/2009	242	12/14/2009	20 / 5390434		51	7674	02/26/2015	42	03/03/2015	2018623
	4	384	01/05/2010	6	01/11/2010	29 / 5433950		52	10874	03/24/2015	60	03/27/2015	2067637
	5	8960	03/01/2010	45	03/05/2010	28 / 5526678		53	B 11420	03/27/2015	B 63	04/01/2015	2075665
	6	11355	03/19/2010	59	03/25/2010	23 / 5558724		54	B 11714	03/31/2015	B 65	04/07/2015	2080711
	7	21722	06/14/2010	116	06/18/2010	30 / 5682990		55	17206	05/15/2015	95	05/20/2015	2160219
	8	23468	06/25/2010	125	07/01/2010	37 / 5703192		56	17782	05/20/2015	98	05/26/2015	2167765
	9	31585	09/01/2010	173	09/07/2010	26 / 5801788		57	28674	08/13/2015	158	08/18/2015	2325761
	10	41017	11/23/2010	232	11/29/2010	24 / 5914774		58	31557	09/08/2015	176	09/11/2015	2368305
	11	1132	01/07/2011	9	01/13/2011	23 / 5984006		59	32137	09/14/2015	180	09/17/2015	2377601
	12	8184	02/28/2011	44	03/03/2011	6059836		60	34655	10/05/2015	195	10/08/2015	2414949
	13	19620	05/30/2011	107	06/03/2011	6190856		61	41135	11/25/2015	232	11/30/2015	2508617
	14	20989	06/09/2011	114	06/15/2011	6205234		62	N 43731	12/14/2015	N 245	12/17/2015	2545991
	15	29571	08/15/2011	159	08/18/2011	6300246		63	4150	02/01/2016	24	02/04/2016	2639549
	16	30440	08/22/2011	164	08/25/2011	6308614		64	5339	02/09/2016	30	02/12/2016	2663087
	17	45436	12/19/2011	249	12/22/2011	6473092		65	B 14667	04/25/2016	B 82	04/28/2016	2804775
	18	4916	02/06/2012	28	02/09/2012	6542696		66	21219	06/16/2016	118	06/21/2016	2901521
	19	B 5755	02/13/2012	B 33	02/16/2012	6552852		67	21788	06/21/2016	121	06/24/2016	2910479
	20	16587	05/18/2012	99	05/23/2012	6689264		68	29998	08/26/2016	168	08/31/2016	3029073
	21	17429	05/29/2012	105	06/01/2012	6700670		69	31824	09/13/2016	180	09/16/2016	3058171
	22	24132	07/17/2012	140	07/20/2012	6779032		70	43772	12/08/2016	242	12/13/2016	3218115
	23	39281	11/15/2012	226	11/20/2012	6938314		71	7131	02/21/2017	39	02/24/2017	3368311
	24	39724	11/20/2012	229	11/23/2012	6944492		72	12661	04/06/2017	71	04/11/2017	3461359
	25	665	01/10/2013	9	01/15/2013	7015202		73	17438	05/17/2017	98	05/22/2017	3535025
	26	B 1135	01/15/2013	B 12	01/18/2013	7022542		74	18784	05/30/2017	106	06/02/2017	3557861
	27	1997	01/21/2013	16	01/24/2013	7031946		75	23126	06/30/2017	128	07/05/2017	3623229
	28	8680	03/12/2013	52	03/15/2013	7106970		76	28505	08/11/2017	157	08/16/2017	3698963
	29	17409	06/05/2013	109	06/10/2013	7220540		77	31455	09/08/2017	177	09/13/2017	3749179
	30	19138	06/19/2013	119	06/24/2013	933285		78	37671	10/30/2017	213	11/02/2017	3845833
	31	B 19450	06/21/2013	B 121	06/26/2013	939549		79	41113	11/24/2017	232	11/29/2017	3898623
	32	21966	07/11/2013	135	07/16/2013	979855		80	B 41659	11/29/2017	B 235	12/04/2017	3906519
	33	28316	09/05/2013	174	09/10/2013	1068601		81	42315	12/04/2017	238	12/07/2017	3915795
	34	28682	09/10/2013	177	09/13/2013	1075065		82	44466	12/18/2017	248	12/21/2017	3947201
	35	31098	10/01/2013	192	10/04/2013	1109807		83	116	01/03/2018	4	01/08/2018	3970893
	36	31650	10/04/2013	195	10/09/2013	1117735		84	1671	01/11/2018	10	01/16/2018	3991771
	37	33210	10/18/2013	205	10/23/2013	1140951		85	6219	02/12/2018	32	02/15/2018	4057721
	38	39702	12/10/2013	242	12/13/2013	1234425		86	14480	04/19/2018	78	04/24/2018	4189723
	39	41484	12/20/2013	250	12/27/2013	1259529		87	16180	05/04/2018	89	05/09/2018	4219785
	40	135	01/06/2014	5	01/09/2014	1273753		88	16724	05/09/2018	92	05/15/2018	4228141
	41	5152	02/10/2014	30	02/13/2014	1343509		89	19982	06/06/2018	110	06/11/2018	4280535
	42	6780	02/21/2014	39	02/26/2014	1367239		90	36698	10/12/2018	201	10/17/2018	1004478100
	43	7104	02/25/2014	41	02/28/2014	1371895		91	43410	12/03/2018	237	12/06/2018	1004513534
	44	11490	04/01/2014	66	04/04/2014	1435367		92	8186	02/22/2019	40	02/27/2019	1004575660
	45	13502	04/16/2014	77	04/23/2014	1464733		93	16989	04/30/2019	85	05/03/2019	1004622258
	46	19069	06/10/2014	112	06/13/2014	1551845		94	22139	06/07/2019	112	06/13/2019	1004649734
	47	21234	06/26/2014	124	07/01/2014	1583839							



Commercial register of canton Zurich

CHE-105.933.968	Falcon Private Bank AG	Zurich	3
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Valid data only

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In	Ca	Personal data	Function	Signature
		Grassi, Dr. Roberto, from Mendrisio, in Lugano	president of the board of directors	joint signature at two
		Latroche, Cyril, French citizen, in Abu Dhabi (UAE)	VP of the board of directors	joint signature at two
87		Bernegger, Marc Philipp, from Zurich, in Zollikon	member of the board of directors	joint signature at two
		Hum, Matthew, British citizen, in Abu Dhabi (UAE)	member of the board of directors	joint signature at two
		Schärer, Dominik, from Thunstetten, in Zofingen	member of the board of directors	joint signature at two
90		Keller, Martin, from Baden, in Oberwil-Lieli	pres. of management	joint signature at two
		Clavel, Xavier, from Sion, in Zollikon	member of the management	joint signature at two
		Fiddes, Alastair, British citizen, in Freienbach	member of the management	joint signature at two
		Maccio, Matteo, from Rossinière, in Küsnacht (ZH)	member of the management	joint signature at two
		Meyer, Bruno, from Emmen, in Stäfa	member of the management	joint signature at two
		Müller, Andreas, from Unterkulm, in Zurich	member of the direction	joint signature at two
90		Aiello, Bettina, from Kallnach, in Bülach	member of the direction	joint signature at two limited to main office
51		Ajvazi, Eldin, from Hombrechtikon, in Beringen	member of the direction	joint signature at two limited to main office
		Ashapa, Rebecca, from Benken (ZH), in Zurich	member of the direction	joint signature at two limited to main office
90		Beraha-Levy, Lara, German citizen, in Zurich	member of the direction	joint signature at two limited to main office
		Bertschi, Andreas, from Dürrenäsch, in Zurich	member of the direction	joint signature at two limited to main office
		Blum, Christian, from Thalwil, in Rüschlikon	member of the direction	joint signature at two limited to main office
90		Bollhalder, Stefan, from Wädenswil, in Wädenswil	member of the direction	joint signature at two limited to main office
49		Bühlmann, Carole, from Ruswil, in Cham	member of the direction	joint signature at two limited to main office
		Buschor, Patricia, from Altstätten, in Zollikon	member of the direction	joint signature at two limited to main office
		Cantieni, Gregor, from Andeer, in Freienbach	member of the direction	joint signature at two limited to main office
		D'Alelio, Antonio, called Tony, from Weinfelden, in Zurich	member of the direction	joint signature at two limited to main office
		D'Angelo, Marco, from Männedorf, in Männedorf	member of the direction	joint signature at two limited to main office
87		Di Grezia, Fabio, from Schlieren, in Unterengstringen	member of the direction	joint signature at two limited to main office
		Eckard, Bernard, from Winterthur, in Zurich	member of the direction	joint signature at two limited to main office
		Egger, Daniel Philippe, from Aarwangen, in Thalwil	member of the direction	joint signature at two limited to main office
18		Erismann, Frank, from Wettingen, in Stäfa	member of the direction	joint signature at two limited to main office

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Commercial register of canton Zurich

CHE-105.933.968	Falcon Private Bank AG	Zurich	
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Valid data only

In	Mo	Ca	Personal data	Function	Signature
	49		Fässler, Bernhard, from Ingenbohl, in Nottwil	member of the direction	joint signature at two limited to main office
90			Fehr, Patrick, from Wagenhausen, in Gachnang	member of the direction	joint signature at two limited to main office
70			Fehr, Urs, from Biel/Bienne, in Thalwil	member of the direction	joint signature at two limited to main office
1			Frehner, Daniel, from Urnäsch, in Illnau-Effretikon	member of the direction	joint signature at two limited to main office
1			Furrer, Gertrud, from Schongau, in Jonen	member of the direction	joint signature at two limited to main office
	90		Ganzer, Grit, German citizen, in Winkel	member of the direction	joint signature at two limited to main office
	30		Gigante, Dario, Italian citizen, in Meilen	member of the direction	joint signature at two limited to main office
84			Gilliard, Philippe, from Suscèvez, in Galgenen	member of the direction	joint signature at two limited to main office
	90		Gywat, Oliver, from Riehen, in Zurich	member of the direction	joint signature at two limited to main office
91			Heinz, Stefan, from Zurich, in Fischbach-Göslikon	member of the direction	joint signature at two limited to main office
	87		Helbling, Michael, from Lucerne, in Freienbach	member of the direction	joint signature at two limited to main office
90			Hilfing, Tomas, from Meilen, in Meilen	member of the direction	joint signature at two limited to main office
	86		Hirzel, Heinrich, from Zurich, in Bassersdorf	member of the direction	joint signature at two limited to main office
87			Hunziker, Jean Jacques, from Kirchleerau, in Thalwil	member of the direction	joint signature at two limited to main office
	90		Jereb, Taja, Slovenian citizen, in Zurich	member of the direction	joint signature at two limited to main office
	71		Luchsinger, Christian, from Herrliberg, in Zurich	member of the direction	joint signature at two limited to main office
	51		Manente, Patrizia, from Dübendorf, in Dübendorf	member of the direction	joint signature at two limited to main office
1			Maritz, Peter, from Zurich, in Küsnacht ZH	member of the direction	joint signature at two limited to main office
	64		Meier, Gerald, from Lengwil, in Montana	member of the direction	joint signature at two limited to main office
	30		Molzahn, Rüdiger, German citizen, in Boniswil	member of the direction	joint signature at two limited to main office
	66		Montgomery, Magnus, British citizen, in Winkel	member of the direction	joint signature at two limited to main office
	64		Moraru, Iurie, Romanian citizen, in Freienbach	member of the direction	joint signature at two limited to main office
	11		Müller, Franziska, from Walchwil, in Obfelden	member of the direction	joint signature at two limited to main office
	51		Nicolay, Igor, from Bergün/Bravuogn, in Zurich	member of the direction	joint signature at two limited to main office
	86		Özcan, Cihan, from Basel, in Basel	member of the direction	joint signature at two limited to main office
1			Räss, Daniel, from Benken ZH and Geroldswil, in Oberlunkhofen	member of the direction	joint signature at two limited to main office
	71		Reinstadler, Silvan, from Obersiggenthal, in Niederglatt	member of the direction	joint signature at two limited to main office



Commercial register of canton Zurich

CHE-105.933.968	Falcon Private Bank AG	Zurich	
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Valid data only

In	Mo	Ca	Personal data	Function	Signature
70			Reist, Andreas, from Oberburg, in Adliswil	member of the direction	joint signature at two limited to main office
	51		Reuthinger, Thomas, from Neunkirch, in Rümlang	member of the direction	joint signature at two limited to main office
87			Richards, Katie, from Zurich, in Zurich	member of the direction	joint signature at two limited to main office
91			Rijntjes, Evert-Jan, Dutch citizen, in Kilchberg (ZH)	member of the direction	joint signature at two limited to main office
91			Ritzl, Marc, from Bergdietikon, in Volketswil	member of the direction	joint signature at two limited to main office
84			Roy, Iryna, from Biel/Bienne, in Männedorf	member of the direction	joint signature at two limited to main office
1			Sato, Masayuki, Japanese citizen, in Meilen	member of the direction	joint signature at two limited to main office
	90		Schleiffer, Philipp, from Luzern, in Stäfa	member of the direction	joint signature at two limited to main office
1			Schmid, Kuno, from Nesslau-Krummenau, in Seuzach	member of the direction	joint signature at two limited to main office
	86		Schmidlin, Boris Dominic, from Dittingen, in Zurich	member of the direction	joint signature at two limited to main office
11			Schramböck, Patrick, Austrian citizen, in Baar	member of the direction	joint signature at two limited to main office
	18		Schwarz, Ursula, from Oberrieden and Binningen, in Oberrieden	member of the direction	joint signature at two limited to main office
	18		Skyvell, Kristoffer, Swedish citizen, in Küsnacht ZH	member of the direction	joint signature at two limited to main office
74			Stuppacher, Michael, from Eggersriet, in Zurich	member of the direction	joint signature at two limited to main office
50			Timpanaro, Gianmarco, Italian citizen, in Gipf-Oberfrick	member of the direction	joint signature at two limited to main office
70			Van Berkel, Jocelyn, Dutch citizen, in Zurich	member of the direction	joint signature at two limited to main office
	86		Voci, Giuseppe, from St. Gallen, in Zurich	member of the direction	joint signature at two limited to main office
91			Volland, Thomas, from Kilchberg (ZH), in Kilchberg (ZH)	member of the direction	joint signature at two limited to main office
	86		Volz, Oliver, from Wangen-Brüttisellen, in Uster	member of the direction	joint signature at two limited to main office
	54		Vonlanthen, Roger, from Schmitten FR, in Freienbach	member of the direction	joint signature at two limited to main office
55			Zaitsev, Gueorgi, Danish citizen, from Fällanden	member of the direction	joint signature at two limited to main office
	64		Zalad, Konstantin, from Stein AG, in Schlieren	member of the direction	joint signature at two limited to main office
	11		Zraggen, Roy, from Gurtnellen, in Zollikon	member of the direction	joint signature at two limited to main office
	18		Zimmermann, Beat, from Luzern, in Galgenen	member of the direction	joint signature at two limited to main office
23			Zobl, Matthias, from Rüschlikon, in Rüschlikon	member of the direction	joint signature at two limited to main office
66			PricewaterhouseCoopers AG (CHE-106.839.438), in Zurich	auditor	
89			Heyward II, George, American citizen, in Zurich		joint signature at two limited to main office
	89		Huggenberger, Eric, from Zurich, in Erlenbach (ZH)		joint signature at two limited to main office



Commercial register of canton Zurich

CHE-105.933.968	Falcon Private Bank AG	Zurich	
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Valid data only

In	Mo	Ca	Personal data	Function	Signature
6			Allenspach, Susanne, from Zurich, in Zurich		joint agent signature at two limited to main office
71			Aouak, Khalil, from Illnau-Effretikon, in Illnau-Effretikon		joint agent signature at two limited to main office
74			Bartlett, Aline, from Beringen, in Langnau am Albis		joint agent signature at two limited to main office
84			Baumann, Marc, from Hedingen, in Fällanden		joint agent signature at two limited to main office
86			Beglinger, Diego, from Sennwald, in Dübendorf		joint agent signature at two limited to main office
	18		Bertschy, Nadine, from Kloten, in Bülach		joint agent signature at two limited to main office
	92		Bigler, Melanie, from Oberthal, in Hüntwangen		joint agent signature at two limited to main office
86			Borer, Michael, from Stäfa, in Adliswil		joint agent signature at two limited to main office
	49		De Zordi, Barbara, from Chur, in Zurich		joint agent signature at two limited to main office
74			Dybing, Helén, Swedish citizen, in Zurich		joint agent signature at two limited to main office
87			Eggli, Silvio, from Feuerthalen, in Jonen		joint agent signature at two limited to main office
92			Ferreira Neves, Catia Raquel, Portuguese citizen, in Zurich		joint agent signature at two limited to main office
86			Franzini, Peter, from Küssnacht (SZ), in Neuhausen am Rheinfall		joint agent signature at two limited to main office
	87		Frei, Jennifer, from Dübendorf, in Volketswil		joint agent signature at two limited to main office
84			Hauser, Andreas, from Rifferswil, in Uster		joint agent signature at two limited to main office
74			Kienle, Werner, from Wilen TG, in Zollikon		joint agent signature at two limited to main office
51			Kipfer, Yayoi, Japanese citizen, in Meilen		joint agent signature at two limited to main office
86			Lonido, Laura, from Regensdorf, in Regensdorf		joint agent signature at two limited to main office
30			Martino, Luisa, from Schlieren, in Schlieren		joint agent signature at two limited to main office
18			Monaco, Laura, from Uster, in Uster		joint agent signature at two limited to main office
68			Nguy, Gérald, from Amden, in Illnau-Effretikon		joint agent signature at two limited to main office
86			Onya, Polina, Russian citizen, in Richterswil		joint agent signature at two limited to main office
64			Osterwalder, Tiziana, from Stettfurt, in Schlieren		joint agent signature at two limited to main office
64			Peter, Andrea, from Stäfa, in Maur		joint agent signature at two limited to main office
71			Petronijevic, Valentina, from Triengen, in Steinhausen		joint agent signature at two limited to main office
91			Pfeifer, Rolf, from Zurich, in Lindau		joint agent signature at two limited to main office
91			Picuccio, Giuseppe, Italian national, in Horgen		joint agent signature at two limited to main office



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CHE-105.933.968	Falcon Private Bank AG	Zurich	7
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Valid data only

In	Mo	Ca	Personal data	Function	Signature
42			Pucino, My, from Meilen, in Regensdorf		joint agent signature at two limited to main office
86			Rosenberg, Penelope, from Bern, in Zurich		joint agent signature at two limited to main office
64			Rossini, Luca, from Monte Carasso, in Weiningen ZH		joint agent signature at two limited to main office
86			Stummer, Alex, Austrian citizen, in Zurich		joint agent signature at two limited to main office
91			Würmli, Benjamin, from Rothenthurm, in Urdorf		joint agent signature at two limited to main office
66			Zumoberhaus, Olivier, from Obergoms, in Zurich		joint agent signature at two limited to main office

Zurich, 10/10/2019

[stamp: Canton of Zurich
[lion image]
Commercial Register

Certified
excerpt
The Registrar p.p.
[signature]

This extract from the cantonal Registry Office is not valid without the original authentication on the left. The extract contains all valid entries for the Company in question. On special request it is also possible to provide an extract containing all entries. The Commercial Registry Office of the Canton of Zürich does not accept any liability for this extract from the Commercial Register not being accepted as sufficient proof of the facts stated therein at its place of destination.



TRANSLATION CERTIFICATION

Date: February 24, 2020

To whom it may concern:

This is to certify that the attached translation is an accurate representation of the documents received by this office. The translation was completed from:

- German

To:

- English

The documents are designated as:

- Falcon Private Bank AG - Commercial Register of Canton Zurich (10.10.2019) 4837-0871-4933 v.1

Eugene Li, Project Manager in this company, attests to the following:

"To the best of my knowledge, the aforementioned documents are a true, full and accurate translation of the specified documents."

Signature of Eugene Li